

# CAPACITY BUILDING FOR CLEAN DEVELOPMENT MECHANISM IN SUB-SAHARAN AFRICAN COUNTRIES

## QUESTIONNAIRE

### OBJECTIVE OF THE QUESTIONNAIRE

The objective of the questionnaire is to assist identify barriers for CDM implementation and formulate an action plan to overcome the identified barriers.

Sub-Saharan countries participating in this project are requested to fill in this questionnaire, which should be submitted to CEEEZ<sup>1</sup> by 30<sup>th</sup> November 2003.

### 1.0 POLICY

Indicator		Yes	No	Comments
Is your country aware of CDM objectives at the following levels?	Government			
	NGOs			
	Private sector			
Is your country aware of CDM project cycle (project development, project validation and registration, project monitoring, and project verification, Certification and Issuance) at the following levels?	Government			
	NGOs			
	Private sector			
Has your country signed the Kyoto Protocol?				
Does your country have the climate change focal point? Please specify institution/ministry in the comments column.				
Does your country have a designated CDM National Authority?				
Does your country have a sustainable development criteria to assess CDM projects? If yes, is it formally or informally applied?				
Does your country have a sustainable development strategy for CDM projects assessment?				
Does your country have a sustainable development committee to evaluate sustainable development?				
Is your country aware of benefits to accrue in implementation of CDM at the following levels?	Government			
	NGOs			
	Private sector			
Is your country aware of CDM in general and its relationship to business at the following levels?	Government			
	NGOs			
	Private sector			
Does your country have a forum where CDM related issues are discussed and disseminated? If yes, is it sustainable?				

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## 2.0 TECHNICAL

Indicator		Yes	No	Comments
Is your country aware, and does it have knowledge on availability of information on renewable energy and energy efficiency technologies as potential CDM projects, at the following levels?	Government			
	NGOs			
	Private sector			
Do you have knowledge on the selection of appropriate renewable energy and energy efficiency technologies as potential CDM projects at the following levels?	Government			
	NGOs			
	Private sector			
Has your country had any CDM project registered with the CDM Executive Board?				
Has your country had experience in implementing a CDM project?				
If the answer is yes please specify any problems encountered by the private sector in the comments column		-	-	
If implementing CDM project, please specify the type	Renewable Energy			
	Energy Efficiency			
	Biomass			
	Other (specify)			
Has the private sector received any assistance in resolving the problems above by either of the following?	Government			
	NGO			
Does your country have an information base where the following could be found?	General CDM information			
	CDM related data (technology description, investment costs, O + M costs)			
Does your country have facilities for undertaking R & D initiatives in the development and demonstration of CDM related technologies?				
Do you have experienced manpower to undertake development of the Project Development Document (PDD) to include the following?	Technical description			
	Additionality assessment			
	Baseline scenario definition			
	Baseline emission, project activity emission and emission reductions calculations			
	Monitoring plan formulation			
	Consultations with stakeholders			
	Economic and financial analysis of CDM projects			
Do you have in your country specialised support services for the following?	PIN and PDD elaboration			
	Financial/Economic analysis			
	Formulation of feasibility studies and business plans			

Do you have the capacity to formulate new baseline methodologies in the absence of approved baseline methodologies?			
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### 3.0 FINANCIAL/LEGAL

#### 3.1 Financial

Indicator	Yes	No	Comments
Is there enough financial base from local CDM investors to contribute to equity for project implementation?			
Are local/regional financial institutions aware of the need to invest in CDM project?			
Is your country aware of availability of international investment sources which include the following?	Commercial institutions		
	Multi-lateral financiers (e.g. GEF)		
	Environmental funds and trusts		
Is your country aware of the various issues related to risks of CDM project implementation?	Risks associated with CDM projects		
	Policy and sovereignty risks		
	Market risks		
	CDM project risks		
Is your country aware of the value of CERs being offered on the market at the following levels?	Risk mitigation options		
	Government		
	NGOs		
	Private sector		
Is your country aware of the transaction costs associated with CDM implementation at the following levels?	Government		
	NGOs		
	Private sector		
Is your country aware of the impacts of sale of CERs on the project economics (e.g. internal rate of return, IRR) at the following levels?	Government		
	NGOs		
	Private sector		

#### 3.2 Legal

Indicator	Yes	No	Comments
Is your country aware of the legal issues to consider, at the following levels?	Government		
	NGOs		
	Private sector		

Is your country aware of the Kyoto Protocol as an international law to include the following, at Government level?	The legal status of the Kyoto Protocol			
	Compliance to Kyoto Protocol			
	Institutional framework			
	Domestic laws			
Is your country aware of the Kyoto Protocol as an international law to include the following, at NGOs level?	The legal status of the Kyoto Protocol			
	Compliance to Kyoto Protocol			
	Institutional framework			
	Domestic laws			
Is your country aware of the Kyoto Protocol as an international law to include the following, at Private Sector level?	The legal status of the Kyoto Protocol			
	Compliance to Kyoto Protocol			
	Institutional framework			
	Domestic laws			
Is your country aware of legal issues in the development of CDM projects to include the following, at Government level?	Project commencement			
	Participation in CDM project			
	Small-scale CDM project			
	Project bundling			
Is your country aware of legal issues in the development of CDM projects to include the following, at NGOs level?	Project commencement			
	Participation in CDM project			
	Small-scale CDM project			
	Project bundling			
Is your country aware of legal issues in the development of CDM projects to include the following, at Private Sector level?	Project commencement			
	Participation in CDM project			
	Small-scale CDM project			
	Project bundling			
Is your country aware of Legal arrangements during CDM development to include the following, at Government level?	Authorising party approvals			
	Project structures and participants			
	CERPA			
	Project responsibilities and expenses			
	Utilising and transacting in CERs			
Is your country aware of Legal arrangements during CDM development to	Authorising party approvals			
	Project structures and participants			

include the following, at NGOs level?	CERPA			
	Project responsibilities and expenses			
	Utilising and transacting in CERs			
Is your country aware of Legal arrangements during CDM development to include the following, at Private Sector level?	Authorising party approvals			
	Project structures and participants			
	CERPA			
	Project responsibilities and expenses			
	Utilising and transacting in CERs			
Does your country have the capacity to negotiate for a CERPA?				